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Market Abuse Regulation

Edward J Swan



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The second edition of *Market Abuse Regulation* offers a detailed analysis of the market abuse regime and the application of the regulations in the UK and EU. The work is of great value to financial services lawyers and companies who need a succinct, comprehensive and up-to-date analysis of the regime and the implications for their businesses.

Consideration is given to the implementation and interpretation of the regulations, the conduct of investigations, the defenses and appeals available against a finding of market abuse, and overlapping US regulation.

Since the implementation of the EU Market Abuse Directive in the UK dates only from 2005, detailed consideration is given to the important enforcement policy decisions and market abuse cases which have been recently decided by the FSA and referred to the Financial Services Markets Tribunal. In particular, the new edition examines the modifications to enforcement procedures by the FSA, the move towards a more 'principles-based' approach to enforcement and the increased focus on senior management liability.

The second edition of this well received work provides a comprehensive analysis of the market abuse regime in the UK and EU. This edition is updated to include critical commentary on the implementation and interpretation of the regulations, with reference to significant policy changes and recent market abuse cases. This is an important work for financial services lawyers and companies needing a fully up-to-date guide to the current regime and how it affects their businesses.

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